



COPY OF PAPERS  
ORIGINALLY FILED

1638

Please type a plus sign (+) inside the box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Approved for use through 10/31/02 OMB 0651-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

RTO/SB/21 (08-00)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

44

Application Number

09/972,805

Filing Date

October 5, 2001

First Named Inventor

Vicki L. CHANDLER et al.

Group Art Unit

1638

Examiner Name

To Be Assigned

Attorney Docket No.

416272061200

RECEIVED

MAR 19 2002

TECH CENTER 1600/2900

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declarations

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement - 3  
pgs IN DUPL  
PTO-1449 - [ ] pg w/ [ ] refs attached  
- IN DUPL

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify  
below): RETURN RECEIPT POSTCARD

☒ PRELIMINARY AMENDMENT - 42  
pgs

Remarks

RECEIVED

APR 24 2002

Technology Center 2100

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

or

Individual Name

Michael R. Ward, Ph.D. (Reg. No. 38,651)

MORRISON & FOERSTER LLP

425 Market Street, San Francisco, CA 94105-2842

## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on February 28, 2002.

LILIA OLSEN

Butterfly House Statement: This form is expected to take 15 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. (DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231)



COPY OF PAPERS  
ORIGINALLY FILED

PATENT  
Docket No. 416272061200  
Client Reference B00-081-2

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on **February 28, 2002.**

LILIA OLSEN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Vicki L. CHANDLER, et al.

Serial No.: 09/972,805

Filing Date: October 5, 2001

For: GENETIC FUNCTIONS REQUIRED  
FOR GENE SILENCING IN MAIZE

Examiner: To Be Assigned

Group Art Unit: 1638

TECH CENTER 1600/2900

APR 25 2002

RECEIVED

RECEIVED

MAR 19 2002

TECH CENTER 1600/2900

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

APR 24 2002

Technology Center 2100

Dear Sir:

This is a preliminary amendment being filed before receipt a the first Office action.

Please amend the application as follows:

AMENDMENTS

In the Specification:

Please replace paragraph number [0025] with the following rewritten paragraph:

Accession No. PLA-4030; corn seed designated *mop1* and having ATCC Accession No. PLA-